

SIOUX RIVERS REGIONAL MHDS FY22 ANNUAL SERVICE & BUDGET PLAN

**Sioux
Rivers**



Regional Mental Health & Disabilities Services

**SUBMITTED
04/01/2021**

GEOGRAPHIC AREA: Emmett, Dickinson, Lyon, O'Brien, Plymouth and Sioux Counties

APPROVED: GOVERNING BOARD - 3/23/2021 ADVISORY BOARDS – 3/23/2021

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Introduction

Sioux Rivers Regional MHDS was formed under Iowa Code Chapter 28E to create a Mental Health and Disability Services (MHDS) Region in compliance with Iowa Code 331.390. The annual service and budget plan is a component of the Management Plan which includes three parts: Annual Service and Budget Plan, Annual Report and Policies and Procedures Manual in compliance with Iowa Administrative Code 441.25.

The FY2022 Annual Service and Budget Plan covers the period of July 1, 2021 to June 30, 2022. The Annual Service and Budget Plan includes local access points, targeted case management providers, crisis services, intensive mental health services, a description of the scope of services to be provided including core services, budget and financial provisions, and provider reimbursement provisions.

In the fall of 2020, the Sioux Rivers Governing Board approved the request from Emmet County to join the region on July 1, 2021. Since November of 2020, representatives from those Boards of Supervisors as well as mental health staff from those counties have participated in Regional Governance Board meetings and have contributed to the development and subsequent approval of this plan.

Sioux Rivers Regional MHDS Governance Board

<u>Member</u>	<u>Representing</u>	<u>Voting Member</u>
Kim Wermersen	Dickinson County Board of Supervisors	Yes
Bill Leupold	Dickinson County Board of Supervisors	Yes
Tim Schumacher	Emmet County Board of Supervisors	Yes
John Pluth	Emmet County Board of Supervisors	Yes
Corey Altena	Lyon County Board of Supervisors	Yes
Jerry Birkey	Lyon County Board of Supervisors	Yes
Sherri Bootsma	O'Brien County Board of Supervisors	Yes
John Steensma	O'Brien County Board of Supervisors	Yes
Mike Van Otterloo	Plymouth County Board of Supervisors	Yes
Don Kass	Plymouth County Board of Supervisors	Yes
Mark Sybesma	Sioux County Board of Supervisors	Yes
Carl Vande Weerd	Sioux County Board of Supervisors	Yes
Tammy Bruinsma	Adults/Actively Involved Relatives of an Adult who Utilizes Mental Health and Disability Services	Yes
Janie Baird	Parents/Actively Involved Relatives of a Child who Utilizes Children's Behavioral Health Services	Yes
Brenda Langstraat-Janish	The Regional Education System	Yes
Dr. Dayton Vogel	Regional MHDS Adult Service Providers	No
Denise Driesen	Regional Children's Behavioral Health Service Providers	No
Mark Loutsch	At Large Community Representative	No

A. Local Access Points

An access point is a component of the regional service system trained to complete MHDS applications for persons with a disability. Sioux Rivers Regional MHDS has designated the following access points for mental health & disability services:

Access Point	Location	Contact Information
Sioux Rivers Regional MHDS-Sioux Co	210 Central Ave SW Orange City, IA	712-737-2999
Sioux Rivers Regional MHDS-Lyon Co	315 1 st Ave #200 Rock Rapids, IA	712-472-8240
Sioux Rivers Regional MHDS-Plymouth Co	19 2 nd Ave NW Le Mars, IA	712-546-4352
Sioux Rivers Regional MHDS-Dickinson Co	1802 Hill Ave Suite 2502 Spirit Lake, IA	712-336-0775
Sioux Rivers Regional MHDS-O'Brien Co	155 S Hayes Ave Primghar, IA	712-957-5985
Sioux Rivers Regional MHDS-Emmet Co	609 1 st Ave. North, Estherville, IA	712-362-6099
Champion State of Mind	1820 E. Central Ave. Estherville, IA	800-592-0180
Plains Area Mental Health Center	180 10 th St Le Mars, IA	712-546-4624
Hope Haven Inc.	1800 19 th St Rock Valley, IA	712-476-2737
Sioux Rivers Regional Assessment Stabilization Center	4038 Division St. Sioux City, IA	712-560-7996
Siouxland Mental Health Center	625 Court St. Sioux City, IA	712-252-3871
Seasons Center for Behavioral Health	201 E 11 th St Spencer, IA	712-262-2922 or 800-242-5101
Village Northwest Unlimited	330 Village Circle Sheldon, IA	712-324-4873
Spencer Hospital	1200 1 st Ave E. Spencer, IA	712-264-6228
Cherokee MHI	1200 W Cedar Loop Cherokee, IA	712-225-2594
Creative Living Center	1905 10 th St Rock Valley, IA	712-476-5245

Sioux Rivers has designated the following access points for children's behavioral health services:

Access Point	Location	Contact Information
Sioux Rivers Regional MHDS-Sioux Co	210 Central Ave SW Orange City, IA	712-737-2999
Sioux Rivers Regional MHDS-Lyon Co	315 1 st Ave #200 Rock Rapids, IA	712-472-8240
Sioux Rivers Regional MHDS-Plymouth Co	19 2 nd Ave NW Le Mars, IA	712-546-4352
Sioux Rivers Regional MHDS-Dickinson Co	1802 Hill Ave Suite 2502 Spirit Lake, IA	712-336-0775
Sioux Rivers Regional MHDS-O'Brien Co	155 S Hayes Ave Primghar, IA	712-957-5985
Sioux Rivers Regional MHDS-Emmet Co	609 1 st Ave North Estherville, IA	712-362-6099
Champion State of Mind	1820 E. Central Ave Estherville, IA	800-592-0180

Seasons Center for Behavioral Health	201 E 11 th St. Spencer, IA	712-262-2922 or 800-242-5101
Cherokee MHI	1200 W Cedar Loop Cherokee, IA	712-225-2594
Plains Area Mental Health Center	180 10 th St Le Mars, IA	712-546-4624
Creative Living Center	1905 10 th St. Rock Valley, IA	712-476-5245
Rosecrance Jackson Centers	3500 W 4 th St Sioux City, IA	800-472-9018
Forest Ridge	4410 210 th St Estherville, IA	712-362-5608

B. Service Coordination and Targeted Case Management

The following agencies provide service coordination and/or targeted case management for Sioux Rivers.

Service Coordination Provider	Adult/Child/Both	Location	Contact Information
Sioux Rivers Regional MHDS- Sioux County – Mavis Anema	Both	210 Central Ave SW Orange City, IA	712-737-2999
Sioux Rivers Regional MHDS-Lyon County – Lisa Rockhill	Both	315 1 st Ave #200 Rock Rapids, IA	712-472-8240
Sioux Rivers Regional MHDS-Plymouth County – Sharon Nieman	Both	19 2 nd Ave NW Le Mars, IA	712-546-4352
Sioux Rivers Regional MHDS-Dickinson County – Beth Will	Both	1802 Hill Ave Suite 2502 Spirit Lake, IA	712-336-0775
Sioux Rivers Regional MHDS-O’Brien County – Mavis Anema	Both	155 S Hayes Ave Primghar, IA	712-957-5985
Sioux Rivers Regional MHDS-Emmet County – Beth Will	Both	1802 Hill Ave Suite 2502 Spirit Lake, IA	712-336-0775
Plains Area Mental Health Center	Both	180 10 th St Le Mars, IA	712-546-4624
Amerigroup	Both	4800 Westown Pkwy. West Des Moines, IA	800-600-4441
Iowa Total Care	Both	636 E Grand Ave Des Moines, IA	833-404-1061
Iowa Department of Human Services	Both	All counties within the region	515-281-7163

C. Crisis Planning

The following accredited crisis services are available to residents of the region for crisis prevention, response, and resolution:

24 Hour Crisis Telephone Response

Provider	Location	Contact Information
Plains Area Mental Health Center	180 10 th St. Le Mars, IA	888-546-0730
Creative Living Center	1905 10 th St. Rock Valley, IA	888-587-2537

Assessment Stabilization Center	4038 Division St. Sioux City, IA	712-560-7996
Seasons Center for Behavioral Health	201 E 11 th St. Spencer, IA	844-345-4569

24 Hour Crisis Hotline

Provider	Location	Contact Information
Your life Iowa	Online: https://yourlifeiowa.org/	855-581-8111

Crisis Evaluation

Provider	Adult/Child/Both	Location	Contact Information
Assessment Stabilization Center	Adult	4038 Division St. Sioux City, IA	712-560-7996
Lakes Life Skills	Adult	1601 Keokuk Ave., Spirit Lake, IA	712-339-9311
Plains Area Mental Health Center	Both	180 10 th Street, Le Mars, IA	888-546-0730
Integrated Telehealth Partners	Both	1501 42 nd St., West Des Moines, IA	855-270-3625
Seasons Center for Behavioral Health	Both	201 E. 11 th St., Spencer, IA	844-345-4569

Mobile Crisis Response

Provider	Location	Contact Information
Seasons Center for Behavioral Health	201 E 11 th St. Spencer, IA	844-345-4569

Crisis Stabilization Community-based Services

Provider	Adult/Child	Location	Contact Information
Seasons Center for Behavioral Health	Adult/Child	201 E 11 th St. Spencer, IA	712-262-2922 or 800-242-5101

Crisis Stabilization Residential Services

Provider	Adult/Child	Location	Contact Information
Assessment Stabilization Center	Adult	4038 Division St. Sioux City, IA	712-560-7996
Lakes Life Skills	Adult	1601 Keokuk Ave., Spirit Lake, IA	712-339-9311
Rosecrance Jackson Centers	Child	3500 W 4 th St Sioux City, IA	800-472-9018
Forest Ridge Youth Services	Child	4410 210 th St, Estherville, IA	712-362-5608

23-Hour Observation

Provider	Location	Contact Information
Assessment Stabilization Center	4038 Division St. Sioux City, IA	712-560-7996
Lakes Life Skills	1601 Keokuk Ave., Spirit Lake, IA	712-339-9311

Sioux Rivers Regional MHDS will reimburse for crisis services delivered in another mental health region on behalf of residents of this region, at the rate determined by that region and/or the facility that delivered the service.

D. Intensive Mental Health Services

ACCESS Center

The Sioux Rivers CEO and staff are collaborating with the CEO and staff from adjoining regions to study possibilities for an ACCESS Center which could be utilized by more than one regional entity. Options being considered at this time include an inclusive brick & mortar facility located in Sioux City, or a virtual service delivery model, which would incorporate services delivered in the counties and communities throughout the area, or some combination of these approaches that would meet current Access Center standards as noted below. Stakeholder meetings involving key individuals from mental health, law enforcement, DHS, education, county boards of supervisors, providers and community mental health centers have been held monthly since last year to consider the options available to regions for developing an ACCESS center, which meets the criteria set forth in Iowa code as follows:

- *No residential facility-based setting with more than 16 beds.*
- *Accredited to provide crisis stabilization residential services pursuant to 441-Chapter 24*
- *Licensed to provide subacute mental health services pursuant 441-Chapter 77.56*
- *Licensed as a substance abuse treatment program pursuant to Iowa code chapter 125 OR have a cooperative agreement with and immediate access to licensed substance abuse treatment services or medical care that incorporates withdrawal management.*
- *Provide services on a no reject, no eject basis to individuals who meet service eligibility criteria.*
- *Accept and serve eligible individuals who are court-ordered to participate in mental health or substance use disorder treatment.*
- *Required services:*
 - *Immediate intake assessment and screening that includes but is not limited to mental and physical conditions, suicide risk, brain injury, and substance use. A crisis evaluation that includes all required screenings may serve as an intake assessment.*
 - *Comprehensive person-centered mental health assessments by appropriately licensed or credentialed professionals.*
 - *Comprehensive person-centered substance use disorder assessments by appropriately licensed or credentialed professional.*
 - *Peer support services as indicated by a comprehensive assessment.*
 - *Mental health and substance abuse treatment as indicated by a comprehensive assessment.*
 - *Physical health services as indicated by a health screening*
 - *Care coordination.*
 - *Service navigation and linkage to needed services.*

When an **ACCESS Center**, whether an inclusive facility or a virtual service delivery model comes to fruition, the region will designate the provider(s) as an **Access Center** that meet the requirements according to IAC 441—25.6(1) in a coordinated manner in one or more locations, between July 1 and 12/31 2021.

Provider	Location	Contact Information
In discussion with adjoining regions to develop a network of services for this area	Sioux City, Ft. Dodge and Spirit Lake	

The region has designated the following **Assertive Community Treatment (ACT)** teams which have been designated and meet the requirements in IAC 441—25.6(2).

Provider	Location	Contact Information
Seasons Center for Behavioral Health	201 E 11 th St. Spencer, IA	712-262-2922 or 844-345-4569

The Region is currently involved in, and has committed to, working with several area Regions to establish a **SUBACUTE** program in Ft. Dodge or Sioux City, which will serve all of NW Iowa, between July 1 and 12/31 2021. When that program is able to satisfy the criteria in IAC 441-25.6 and are licensed by the Department of Inspections and Appeals, they will be designated accordingly.

Provider	Location	Contact Information
In discussion with adjoining regions to develop a network of services for this area	Sioux City, Ft. Dodge and Spirit Lake	

INTENSIVE RESIDENTIAL SERVICES HOME (IRSH)

The Sioux Rivers CEO and staff are collaborating with the CEO of the Pride Group, which is a residential services provider located in the Le Mars and Primghar communities, to develop a setting in one of the Pride Group's Habilitation homes for one or more IRSH beds. The feasibility of this option appears promising, but discussions between the Pride Group and DHS/IME/MCO's regarding rates are ongoing. If this venture is not deemed feasible, the Region is considering a partnership with the Rolling Hills Region to contract with a provider in that region (Wesco or Crossroads), which are currently exploring the option of incorporating IRSH services into existing transitional facilities located in Sac and/or Woodbury Counties.

When one or more providers is deemed eligible for delivery of this service, the region will designate one or more providers as the Region's **Intensive Residential Service** provider(s), and will ascertain compliance with the requirements in IAC 441—25.6(8) as follows:

- *Enrolled as an HCBS 1915(i) habilitation or an HCBS 1915(c) intellectual disability waiver supported community living provider.*
- *Provide staffing 24 hours a day, 7 days a week, 365 days a year.*
- *Maintain staffing ratio of one staff to every two and on-half residents.*
- *Ensure that all staff have the minimum qualifications required.*
- *Provider coordination with the individual's clinical mental health and physical health treatment, and other services and support.*
- *Provide clinical oversight by a mental health professional.*
- *Have a written cooperative agreement with an outpatient provider.*
- *Be licensed as a substance abuse treatment program or have a written cooperative agreement.*
- *Accept and service eligible individuals who are court-ordered.*
- *Provide services to eligible individuals on a no reject, no eject basis.*
- *Serve no more than five individuals at a site.*
- *Located in a neighborhood setting to maximize community integration and natural supports.*
- *Demonstrate specialization serving individuals w/ SPMI/multi-occurring conditions & serve individuals with similar conditions in same site.*

Provider	Location	Contact Information
In discussions with providers & regions to develop a network of services for this area	Denison, Le Mars, Sioux City	

E. Scope of Services & Budget and Financing Provisions

The table below identifies the scope of services offered by Sioux Rivers Regional MHDS. All core services are available. If there is not funding identified in a core service column, it is because it has not been needed historically. If an individual needs funding for a core service and meets eligibility requirements, as per the region's Policies and Procedures manual, funding will be made available. Core services for children's behavioral health are identified by (**) after the service name. Eligibility guidelines for children's behavioral health services are in the region's Policies and Procedures manual. The Policies and Procedures manual can be found online as of 7/01/2021 at:

siouxrivers.com.

The region will utilize braided funding that includes county, regional, state, and other funding sources as necessary and available to meet the service needs within the region.

Table A. Expenditures

FY 2022 Budget	Sioux Rivers Regional MHDS	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
Core Domains							
COA	Treatment						
42305	Mental health outpatient therapy **	\$174,167					\$ 174,167
42306	Medication prescribing & management **						\$ -
43301	Assessment, evaluation, and early identification **						\$ -
71319	Mental health inpatient therapy-MHI	\$ 30,000					\$ 30,000
73319	Mental health inpatient therapy **	\$ 10,000					\$ 10,000
	Crisis Services						
32322	Personal emergency response system						\$ -
44301	Crisis evaluation	\$ 10,000					\$ 10,000
44302	23 hour crisis observation & holding	\$466,000					\$ 466,000
44305	24 hour access to crisis response	\$ 72,782					\$ 72,782
44307	Mobile response **	\$460,000					\$ 460,000
44312	Crisis Stabilization community-based services **						\$ -
44313	Crisis Stabilization residential services **	\$516,000					\$ 516,000
44396	Access Centers: start-up / sustainability	\$ 50,000					\$ 50,000
	Support for Community Living						
32320	Home health aide						\$ -
32325	Respite						\$ -
32328	Home & vehicle Mod.						\$ -
32329	Supported Community Liv.	\$ 18,000	\$ 18,000				\$ 36,000
42329	Intensive Res. Services	\$ 25,000					\$ 25,000
	Support for Employment						
50362	Prevocational services						\$ -
50364	Job development						\$ -
50367	Day habilitation		\$ 67,000				\$ 67,000
50368	Supported employment	\$ 40,000	\$ 65,800				\$ 105,800
50369	Group Supported employment-enclave						\$ -

	Recovery Services						
45323	Family support						\$ -
45366	Peer support	\$ 28,708					\$ 28,708
	Service Coordination						
21375	Case management						\$ -
24376	Health homes						\$ -
	Sub-Acute Services						
63309	Subacute services-1-5 beds	\$ 25,000					\$ 25,000
64309	Subacute services-6 and over beds						\$ -
	Core Evidenced Based Treatment						
04422	Education & Training Services - provider competency						\$ -
32396	Supported housing						\$ -
42398	Assertive community treatment (ACT)						\$ -
45373	Family psychoeducation						\$ -
	Core Domains Total	\$1,925,657	\$ 150,800	\$ -	\$ -		\$2,076,457
Mandated Services							
46319	Oakdale						\$ -
72319	State resource centers						\$ -
74XXX	Commitment related (except 301)	\$ 45,000					\$ 45,000
75XXX	Mental health advocate	\$ 90,925					\$ 90,925
	Mandated Services Total	\$ 135,925	\$ -	\$ -	\$ -		\$ 135,925
Additional Core Domains							
	Justice system-involved services						
25xxx	Coordination services						\$ -
44346	24 hour crisis line*						\$ -
44366	Warm line*						\$ -
46305	Mental health services in jails	\$ 16,000					\$ 16,000
46399	Justice system-involved services-other						\$ -
46422	Crisis prevention training						\$ -
46425	Mental health court related costs						\$ -
74301	Civil commitment prescreening evaluation						\$ -

	Additional Core Evidenced based treatment						
42366	Peer self-help drop-in centers	\$ 32,500					\$ 32,500
42397	Psychiatric rehabilitation (IPR)						\$ -
	Additional Core Domains Total	\$ 48,500	\$ -	\$ -	\$ -		\$ 48,500
Other Informational Services							
03371	Information & referral						\$ -
04372	Planning, consultation &/or early intervention (client related) **	\$ 10,000					\$ 10,000
04377	Provider Incentive Payment						\$ -
04399	Consultation Other						\$ -
04429	Planning and Management Consultants (non-client related)						\$ -
05373	Public education, prevention and education **	\$ 133,465					\$ 133,465
	Other Informational Services Total	\$ 143,465	\$ -	\$ -	\$ -		\$ 143,465
Community Living Supports							
06399	Academic services						\$ -
22XXX	Services management	\$ 296,404	\$ 95,922				\$ 392,326
23376	Crisis care coordination						\$ -
23399	Crisis care coordination other						\$ -
24399	Health home other						\$ -
31XXX	Transportation	\$ 20,000					\$ 20,000
32321	Chore services						\$ -
32326	Guardian/conservator	\$ 15,000					\$ 15,000
32327	Representative payee						\$ -
32335	CDAC						\$ -
32399	Other support						\$ -
33330	Mobile meals						\$ -
33340	Rent payments (time limited)	\$ 2,000					\$ 2,000
33345	Ongoing rent subsidy						\$ -
33399	Other basic needs						\$ -

41305	Physiological outpatient treatment						\$ -
41306	Prescription meds	\$ 5,000					\$ 5,000
41307	In-home nursing						\$ -
41308	Health supplies						\$ -
41399	Other physiological treatment						\$ -
42309	Partial hospitalization						\$ -
42310	Transitional living program	\$ 150,000					\$ 150,000
42363	Day treatment						\$ -
42396	Community support programs						\$ -
42399	Other psychotherapeutic treatment						\$ -
43399	Other non-crisis evaluation						\$ -
44304	Emergency care						\$ -
44399	Other crisis services						\$ -
45399	Other family & peer support						\$ -
46306	Psychiatric medications in jail						\$ -
50361	Vocational skills training						\$ -
50365	Supported education						\$ -
50399	Other vocational & day services						\$ -
63XXX	RCF 1-5 beds (63314, 63315 & 63316)						\$ -
63XXX	ICF 1-5 beds (63317 & 63318)						\$ -
63329	SCL 1-5 beds						\$ -
63399	Other 1-5 beds						\$ -
	Community Living Supports	\$ 488,404	\$ 95,922	\$ -	\$ -		\$ 584,326
Other Congregate Services							
50360	Work services (work activity/sheltered work)						\$ -
64XXX	RCF 6 and over beds (64314, 64315 & 64316)	\$ 288,000					\$ 288,000
64XXX	ICF 6 and over beds (64317 & 64318)						\$ -
64329	SCL 6 and over beds						\$ -
64399	Other 6 and over beds						\$ -
	Other Congregate Services Total	\$ 288,000	\$ -	\$ -	\$ -		\$ 288,000
Administration							

11XXX	Direct Administration					\$246,394	\$ 246,394
12XXX	Purchased Administration					\$3,000	\$ 3,000
	Administration Total					\$ 249,394	\$ 249,394
	Regional Totals	\$3,029,951	\$ 246,722	\$ -	\$ -	\$ 249,394	\$3,526,067

Transfer Numbers (Expenditures should only be counted when final expenditure is made for services/administration. Transfers are eliminated from budget to show true regional finances)

13951	Distribution to MHDS regional fiscal agent from member county	\$ 2,793,487
14951	MHDS fiscal agent reimbursement to MHDS regional member county	\$ -

Table B. Revenues

FY 2022 Budget	XXXX MHDS Region		
Revenues			
	Projected Fund Balance as of 6/30/2021		\$ 952,539
	Local/Regional Funds		\$ 3,253,264
10XX	Property Tax Levied	\$3,189,526	
12XX	Other County Taxes	\$2,665	
16XX	Utility Tax Replacement Excise Taxes	\$43,644	
25XX	Other Governmental Revenues	\$17,429	
4XXX- 5XXX	Charges for Services	-	
5310	Client Fees	-	
60XX	Interest	-	
6XXX	Use of Money & Property	-	
8XXX	Miscellaneous	-	
9040	Other Budgetary Funds (Polk Only)	-	
		-	
	State Funds		\$ 191,360.00
21XX	State Tax Credits	\$160,023	
22XX	Other State Replacement Credits	\$30,837	
2250	MHDS Equalization	-	
24XX	State/Federal pass thru Revenue	-	
2644	MHDS Allowed Growth // State Gen. Funds	-	
29XX	Payment in Lieu of taxes	\$500	
		-	

	Federal Funds		\$ -
2344	Social services block grant	-	
2345	Medicaid	-	
	Other	-	
	Total Revenues		\$ 4,397,163

Total Funds Available for FY22	\$ 4,397,163
FY22 Budgeted Regional Expenditures	\$ 3,526,067
Projected Fund Balance as of 6/30/22	\$ 871,096

Table E. County Levies

County	2022 Est. Pop.*	Regional Per Capita	FY20 Max Levy	FY20 Actual Levy	Actual Levy Per Capita
Dickinson	17,258	30.49	526,196	\$ 526,196	30.49
Lyon	11,755	30.49	358,410	\$ 358,410	30.49
O'Brien	13,753	30.49	419,329	\$ 419,329	30.49
Plymouth	25,177	30.49	767,647	\$ 767,647	30.49
Sioux	34,855	30.49	1,062,729	\$ 1,062,729	30.49
Emmet	9,208	30.49	280,752	\$ 280,752	30.49
Total Sioux Rivers Region	112,006		\$3,415,063	\$ 3,415,063	30.49

- Note that population estimates were provided by the Iowa Department of Management information Dated 11/22/20.

G. Financial Forecasting Measures

The following is a description of the financial forecasting measures used by Sioux Rivers Regional MHDS in the identification of service need and funding necessary for services.

Prior service utilization is the foundation for financial projections. Throughout the year, Sioux River's staff and stakeholders identify unmet needs and areas for service development, which are incorporated into subsequent strategic plans and budgets, at which point an analysis of historical utilization and cost is conducted. Strategic plan priorities are identified in the areas of system infrastructure, community living, employment, and treatment. Provided adequate funding, Sioux Rivers will in turn provide funding for core and other services, delivered at current and subsequent access standards levels. Unencumbered funds and available fund balances beyond 40% of budgeted expenditures will be reinvested to fund projects that will impact the region. When/if funding is not sufficient to meet the service need; services may be reduced or placed on a waiting list, beginning with non-mandated services. As funding again becomes available, those services will be reintroduced.

The following service projects, while not mandated, will continue to receive regional funding and support in FY22:

1. Placement outcomes related to meaningful vocational alternatives to work activity, in its 5th year of grant funding.
2. Job placement of individuals diagnosed with serious and persistent mental illness, or Individual Placement & Support (IPS), approved for a fourth year of grant funding in FY22.
3. School based therapy programs, which have been or will expanded into additional school districts in Dickinson, O'Brien, Lyon, Plymouth, and Sioux Counties, and will look to expand further into Emmet County as of 7/1/21. This service will continue to serve hundreds of children throughout the Region.

4. Mental health services in the regional jails, as well as jail diversion services are being delivered and improved upon in all regional counties.

Furthermore, the regional planning team is exploring uses of the Sioux Rivers Regional Assessment & Stabilization Center, beyond the current service array, particularly for use as an Access Center (as a component of a virtual access center delivery model) to satisfy recent legislation. Discussions with area providers around development and delivery of new services required by legislation are ongoing, which include Access, Sub-acute, Intensive Residential Services (IRSH), ACT and Children's MH services, with a goal of full service implementation by 7/1/21, or as deemed feasible.

The Regional Advisory Boards are meeting quarterly, to determine what type of services and facilities are necessary to meet needs in the regional service area, particularly regarding children's behavioral health services. Two area providers have been contacted regarding the delivery of crisis services to regional children and have agreed to partner with Sioux Rivers for these services. Expenditures for planned children's behavioral health services have been budgeted, however the actual financial impact to the region has yet to be determined.

H. Provider Reimbursement Provisions

The following is a description of the types of funding used by Sioux Rivers Regional MHDS:

- Fee for service: The Region will contract with MHDS providers whose base of operation is within the region. Sioux Rivers may also honor contracts that other regions have with their local providers or may choose to contract with providers outside of the region. A contract may not be required with providers that provide one-time or as needed services. A fee for service approach will be utilized in most provider contracts outlining the services to be provided and the rate of reimbursement. All payments will be based on a pre-authorized request for service authorization except for court ordered payments, such as civil commitment costs and crisis services.
- Block grant payments: Block grants typically are utilized when a fee is difficult to assess, generally with community mental health centers for emergency and similar services. Block grants may also be used to provide start up (one time) funding for the development of new services or infrastructure.
- Compensation for a "system of care" approach: There are currently no regional providers utilizing this method of service delivery.
- Use of nontraditional providers: When a non-traditional provider arrangement is more appropriate than a fee for service approach with a contracted provider, the Coordinators of Disability Services will work with the Sioux Rivers Regional CEO to request such arrangements. A non-traditional provider may be an individual, organization and/or business who delivers services in the consumer's home and/or other community setting. Non-traditional providers typically are individuals, organizations, or businesses which do not provide MHDS services as a part of their normal business. These services are not to provide treatment but are supportive and may be rehabilitative in focus and are initiated when there is a reasonable likelihood that such services will benefit the consumer's functioning, assist them in maintaining community tenure, and act as an alternative way to achieve the consumer's stated goals or outcomes. A request for funding can be made by any consumer, or the consumer's authorized representative, to utilize non-traditional providers for services as approved in the Regional Management Plan. Non-traditional providers may be subject to certain licensing, certification, accreditation, or other state approval standards.
- Incorporation of all funding sources for individuals including Medicaid: The Region is responsible for ensuring that MHDS services are available to all residents of the region regardless of funding source. Sioux Rivers Regional MHDS will ensure that individuals apply for all funding sources prior to accessing regional funding, including private insurance, Medicaid, Medicare, and other funding mechanisms which ensure that individuals and their families are able to optimally live, work, and recreate in integrated communities of their choice.